

Please type a plus sign (+) inside this box → ☒

PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 4204.2C3

First Inventor or Application Identifier Michael A. Peshkin

Title See 1 in Addendum

Express Mail Label No. EL 350 465 915 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 45]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 28]
4. Oath or Declaration [Total Pages 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☒ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☒ \* Small Entity Statement(s) ☐ Statement filed in prior application  
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other: .....

\* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 09/020,767

Prior application information: Examiner Bruce, D.

Group / Art Unit: 2876

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

|         |                                |           |              |          |              |
|---------|--------------------------------|-----------|--------------|----------|--------------|
| Name    | Marc A. Hubbard                |           |              |          |              |
|         | Munsch Hardt Kopf & Harr, P.C. |           |              |          |              |
| Address | 1445 Ross Avenue, Suite 4000   |           |              |          |              |
| City    | Dallas                         | State     | TX           | Zip Code | 75202        |
| Country | USA                            | Telephone | 214-855-7571 | Fax      | 214-855-7584 |

Name (Print/Type) Marc A. Hubbard

Registration No. (Attorney/Agent)

32,506

Signature

Date

11/14/00

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**FEE TRANSMITTAL**  
**for FY 1999**

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 773.00)

**Complete if Known**

Application Number

Filing Date

First Named Inventor

Michael A. Peshkin

Examiner Name

Group / Art Unit

Attorney Docket No.

4204.2C3

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

13-4900

Deposit  
Account  
Name

Munsch Hardt Kopf &amp; Harr, P.C.

☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

- 2.
- ☐
- Payment Enclosed:

☐ Check☐ Money  
Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description        | Fee Paid |
|---------------|---------------|------------------------|----------|
| 101 760       | 201 380       | Utility filing fee     | 380.00   |
| 106 310       | 206 155       | Design filing fee      |          |
| 107 480       | 207 240       | Plant filing fee       |          |
| 108 760       | 208 380       | Reissue filing fee     |          |
| 114 150       | 214 75        | Provisional filing fee |          |

SUBTOTAL (1) (\$ 380.00)

**2. EXTRA CLAIM FEES**

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20** = 35   | X 9            | = 315    |
| Independent Claims | 5 - 3** = 2  | X 39           | = 78     |
| Multiple Dependent |              |                | = 0      |

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  |
|---------------|---------------|--|
| 103 18        | 203 9         | Claims in excess of 20                                     |
| 102 78        | 202 39        | Independent claims in excess of 3                          |
| 104 260       | 204 130       | Multiple dependent claim, if not paid                      |
| 109 78        | 209 39        | ** Reissue independent claims over original patent         |
| 110 18        | 210 9         | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 393.00)

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**Large Entity Small Entity  
Fee Code (\$)

Fee Description

Fee Paid

|                           |            |  |      |
|---------------------------|------------|--|------|
| 105 130                   | 205 65     | Surcharge - late filing fee or oath  | 0.00 |
| 127 50                    | 227 25     | Surcharge - late provisional filing fee or cover sheet                     | 0.00 |
| 139 130                   | 139 130    | Non-English specification  | 0.00 |
| 147 2,520                 | 147 2,520  | For filing a request for reexamination                                     | 0.00 |
| 112 920*                  | 112 920*   | Requesting publication of SIR prior to Examiner action                     | 0.00 |
| 113 1,840*                | 113 1,840* | Requesting publication of SIR after Examiner action                        | 0.00 |
| 115 110                   | 215 55     | Extension for reply within first month                                     | 0.00 |
| 116 380                   | 216 190    | Extension for reply within second month                                    | 0.00 |
| 117 870                   | 217 435    | Extension for reply within third month                                     | 0.00 |
| 118 1,360                 | 218 680    | Extension for reply within fourth month                                    | 0.00 |
| 128 1,850                 | 228 925    | Extension for reply within fifth month                                     | 0.00 |
| 119 300                   | 219 150    | Notice of Appeal   | 0.00 |
| 120 300                   | 220 150    | Filing a brief in support of an appeal                                     | 0.00 |
| 121 260                   | 221 130    | Request for oral hearing   | 0.00 |
| 138 1,510                 | 138 1,510  | Petition to institute a public use proceeding                              | 0.00 |
| 140 110                   | 240 55     | Petition to revive - unavoidable   | 0.00 |
| 141 1,210                 | 241 605    | Petition to revive - unintentional   | 0.00 |
| 142 1,210                 | 242 605    | Utility issue fee (or reissue)   | 0.00 |
| 143 430                   | 243 215    | Design issue fee   | 0.00 |
| 144 580                   | 244 290    | Plant issue fee  | 0.00 |
| 122 130                   | 122 130    | Petitions to the Commissioner  | 0.00 |
| 123 50                    | 123 50     | Petitions related to provisional applications                              | 0.00 |
| 126 240                   | 126 240    | Submission of Information Disclosure Stmt                                  | 0.00 |
| 581 40                    | 581 40     | Recording each patent assignment per property (times number of properties) | 0.00 |
| 146 760                   | 246 380    | Filing a submission after final rejection (37 CFR § 1.129(a))              | 0.00 |
| 149 760                   | 249 380    | For each additional invention to be examined (37 CFR § 1.129(b))           | 0.00 |
| Other fee (specify) _____ |            |  | 0.00 |
| Other fee (specify) _____ |            |  | 0.00 |

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

**SUBMITTED BY**

Name (Print/Type)

Marc A. Hubbard

Signature

Registration No.  
(Attorney/Agent)

32,506

**Complete (if applicable)**

Telephone

214-855-7500

Date

1/14/00

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## 1. Apparatus and Method for Planning a Stereotactic Surgical Procedure Using Coordinated Fluoroscopy

- ## 1. Apparatus and Method for Planning a Stereotactic Surgical Procedure Using Coordinated Fluoroscopy